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PTO/SB/21 (08-00)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

| | |
|------------------------|------------------|
| Application Number | 10/071,306 |
| Filing Date | February 8, 2002 |
| First Named Inventor | Tetsuo Seto |
| Group Art Unit | 2673 |
| Examiner Name | Unassigned |
| Attorney Docket Number | 03797.00249 |

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard Form PTO/SB/08A 3 References |
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Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|-------------------------------------------|
| Firm or Individual name | Banner & Witcoff, Ltd William J. Klein |
| Signature | <i>Charles J. Witth</i> 43,805 |
| Date | May 20, 2002 |

CERTIFICATE OF MAILING

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 03797.00249)

| | | |
|---------------------------------------------------------------|---|----------------------|
| In the Application of Seto et al. |) | |
| |) | |
| Application No. 10/071,306 |) | Examiner: Unassigned |
| |) | |
| Filed: February 8, 2002 |) | Group Art Unit: 2673 |
| |) | |
| For: Pen out-of-proximity handwriting- recognition trigger |) | |

INFORMATION DISCLOSURE STATEMENT

Asst. Commissioner of Patents
Washington, DC 20231

Pursuant to 37 CFR §§1.97 and 1.98, please make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 CFR §1.56. A copy of the references cited below is enclosed. The references are also listed on the enclosed and completed PTO Form PTO/SB/08A.

This Information Disclosure Statement is filed under 37 C.F.R. § 1.97(b) within three months of this application's filing date or before the mailing date of a first Office Action on the merits. Accordingly, there is no fee due for filing this Information Disclosure Statement.

Under 37 CFR §1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made.

Under 37 CFR §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the cited references are, or are considered to be, material to patentability as defined in 37 CFR §1.56(b).

REFERENCES

Other Prior Art:

| |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| SOUYA, TOSHIO et al., A Basic Design of Handwriting User Interface with Lazy Recognition, Transactions of Information Processing Society of Japan, 1993, 156-166, Do Gakkai, Japan |
| TAPPERT, CHARLES C. et al., State of the Art in On-Line Handwriting Recognition, Transactions on Pattern Analysis and Machine Intelligence, 1990, 787-808, August, IEEE Computer Society, Japan |
| LEUNG, WING-NIN, et al., "An On-Line Handwritten Chinese Character Recognition System Based on Relative Strokes Positions and Artificial Neural Networks, ICONIP, 1995, 879-885, Volume 2, United Kingdom |

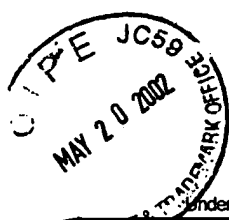
Respectfully submitted,

BANNER & WITCOFF, LTD

Dated: May 20, 2002

By: Charles J. Miller 43,805
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

of

Complete if Known

| | |
|------------------------|------------------|
| Application Number | 10/071,306 |
| Filing Date | February 8, 2002 |
| First Named Inventor | Tetsuo Seto |
| Group Art Unit | 2673 |
| Examiner Name | Unassigned |
| Attorney Docket Number | 03797.00249 |

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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| | | SOUYA, TOSHIO et al., A Basic Design of Handwriting User Interface with Lazy Recognition, Transactions of Information Processing Society of Japan, 1993, 156-166, Do Gakkai, Japan | |
| | | TAPPERT, CHARLES C. et al., State of the Art in On-Line Handwriting Recognition, Transactions on Pattern Analysis and Machine Intelligence, 1990, 787-808, August, IEEE Computer Society, Japan | |
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A handwritten signature in black ink, written over a horizontal line. The signature is stylized and appears to be "J. S. Seto".

In the Application of Seto, et al.

Serial No.: 10/071,306

Filing Date: February 8, 2002

Title: Pen out-of-proximity handwriting-recognition trigger

- ☐ Transmittal Letter
- ☐ Information Disclosure Statement
- ☐ Form Pto/SB/08A
- ☒ References
- ☐ Return receipt postcard

Attorney Docket No.: 03797.00249